

CERTIFICATE OF COMPLIANCE

SSAE 16 (SOC 1) TYPE II

This is to certify that **North American KTRADE Alliance, LLC** has been assessed by Skoda Minotti and received an unqualified opinion of its Retirement Plan Recordkeeping System and on the suitability of the design and operating effectiveness of its controls.

North American KTRADE Alliance, LLC

www.ktradeonline.com

Engagement Type: **SOC 1 Type II**

Examination Period: **December 1, 2015 to November 30, 2016**



CONDITIONS:

1. Skoda Minotti has issued this certificate to indicate that the aforementioned company has completed an examination of its Retirement Plan Recordkeeping System under the Statement on Standards for Attestation Engagements No. 16.
2. This certificate offers no guarantee or warranty to any third party for ongoing compliance.
3. Please contact Skoda Minotti Risk Advisory, LLC at 866.669.6561 for additional information. This certificate is valid for one year from November 30, 2016.

Signature

December 1, 2016

Date



SKODA MINOTTI
RISK ADVISORY SERVICES